

Item 6. Broker and Principal Disclosures

YES

NO

Has the broker or any of the broker's principals, officers, or directors ever been convicted or pled guilty or nolo contendere ("no contest") to a *misdemeanor* involving a franchise?

Has the broker or any of the broker's principals, officers, or directors ever been convicted or pled guilty or nolo contendere ("no contest") to *any* felony?

Has the broker or any of the broker's principals, officers, or directors ever been permanently or temporarily enjoined from engaging in or continuing any aspect of the franchise industry?

Has the broker or any of the broker's principals, officers, or directors ever been subject of an order or the director denying, suspending, or revoking registration as a franchise broker or as a franchisor?

Has the broker or any of the broker's principals, officers, or directors ever been found by any court, administrative body, or arbitrator/arbitration panel to have engaged in dishonest or unethical practices in the franchise industry?

Has the broker or any of the broker's principals, officers, or directors ever been found by any court, administrative body, or arbitrator/arbitration panel to have engaged in dishonest or unethical practices in connection with a license agreement, distributorship, or business opportunity?

Within the last 10 years has the broker or any of the broker's principals, officers, or directors made a compromise with creditors, filed a bankruptcy petition, or been the subject of an involuntary bankruptcy petition?

Does the broker or any of the broker's principals, officers, or directors have any unsatisfied judgments or liens?

If the answer to any of the above questions is "Yes," provide all pertinent details, including names, dates, case numbers, and a summary of the allegations and findings below (if more space is required, please attach a separate sheet to the application).

Item 7. Signature and Submission

By filing this application, the applicant hereby represents that:

- The applicant has included the filing fee specified in RCW 19.100.240 with the submission of this application. The initial application fee is \$50. Renewal filings shall be accompanied by a fee of \$25 and should be submitted approximately twenty-one (21) calendar days prior to December 31st in order to avoid a lapse in registration. Re-applications shall be accompanied by the initial application fee of \$50. Checks should be made payable to the “Washington State Treasurer.”
- If there are any material changes to the information contained in this application, the applicant will promptly submit an amendment.
- The applicant understands that all information submitted to the Department of Financial Institutions becomes a public record and is subject to inspection and copying by the public.
- The applicant hereby irrevocably appoints the Securities Administrator as its agent for service of process upon whom may be served any notice, process or pleading in any action or proceeding against it arising out of, or in connection with, the sale of franchises and the undersigned does hereby consent that any such action or proceeding against it may be commenced in any court of competent jurisdiction and proper venue within the State of Washington by service of process upon the Securities Administrator with the same effect as if the undersigned was organized or created under the laws of the State of Washington and have been served lawfully with process in this state. It is requested that a copy of any notice, process, or pleading served hereunder be mailed to:

Name

Address

The applicant has read this application, knows the contents to be true, and has duly caused this application to be signed on its behalf by the undersigned duly authorized person.

Signature

Name of Signer (Print)

Title

Date