1 2	STATE OF WASHINGTON DEPARTMENT OF FINANCIAL INSTITUTIONS SECURITIES DIVISION		
3	IN THE MATTER OF DETERMINING	Order No. S-22-3399-22-CO02	
4	Whether there has been a violation of the Franchise Investment Protection Act of Washington by:	CONSENT ORDER	
5	Pro-Lift Doors Franchise, LLC;		
6 7	Respondent.		
8	Pursuant to the Franchise Investment Protection Act of Washington, RCW 19.100, the Securities		
9	Division and Respondent Pro-Lift Doors Franchise, LLC do hereby enter into this Consent Order in settlement		
10	of the matters alleged herein. Respondent Pro-Lift Doors Franchise, LLC neither admits nor denies the		
11	Findings of Fact or Conclusions of Law as stated below.		
12	FINDINGS OF FACT		
13	Respondent		
14	1. Pro-Lift Doors Franchise, LLC is a Delaware limited company formed on September 24, 2015		
15	that is based in Charlottesville, Virginia. The Chief Executive Officer (CEO) of Pro-Lift Doors Franchise,		
16	LLC is Paul Flick. Pro-Lift Doors Franchise, LLC sells franchises involving the operation of businesses that		
17	provide garage door installation, maintenance, and repair services. During the relevant time period, Pro-Lift		
18	Doors Franchise, LLC was wholly owned by Premium Services Brands, LLC, a Delaware entity formed on		
19	January 20, 2015.		
20	Prior Enforcement Action		
21	2. On November 19, 2021, the Cali	fornia Department of Financial Protection and Innovation	
22	entered into a Consent Order with Pro-Lift Doors Franchise, LLC and other franchisors owned by Premium		
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	CONSENT ORDER	DEPARTMENT OF FINANCIAL INSTITUTIONS	

Service Brands, LLC, regarding alleged failure to disclose certain litigation, administrative, or material civil actions.

Nature of the Conduct

3. Beginning in 2017, Pro-Lift Doors Franchise, LLC made franchise registration filings with the Securities Division. As part of the process of applying for and renewing its franchise registration, Pro-Lift Doors Franchise, LLC filed various versions of its Franchise Disclosure Document (FDD). As described below, in at least some instances, the FDD filed with the Securities Division failed to disclose material information regarding lawsuits and government enforcement actions.

9 4. Between 2017 and 2021, Pro-Lift Doors Franchise, LLC sold at least two franchises in
10 Washington. Franchisees paid between \$50,000 and \$62,500 for the franchises. In connection with at least
11 one sale, Pro-Lift Doors Franchise, LLC provided a Franchise Disclosure Document (FDD) that failed to
12 disclose material information regarding lawsuits and government enforcement actions.

5. On or about July 11, 2017, Pro-Lift Doors Franchise, LLC filed a franchise registration 13 application with the Securities Division and included a proposed FDD that was dated July 5, 2017. 14 Franchisors must disclose material information in the FDD, including any administrative, criminal, and 15 material civil actions, and litigation against its parent companies and affiliates. The FDD filed with the 16 17 Securities Division failed to disclose any litigation in Item 3. The FDD failed to disclose material lawsuits involving its affiliate (360 Painting, LLC) and its CEO (Paul Flick). The FDD failed to disclose the Consent 18 Order that 360 Painting, LLC entered with the State of Maryland in August 2016. The FDD also failed to 19 20 disclose three pending civil lawsuits that were filed in 2017 and two lawsuits that were settled in 2013. Pro-Lift Doors Franchise, LLC sold one franchise in Washington using this FDD in December 2017. 21

6. On April 23, 2018, Pro-Lift Doors Franchise, LLC filed an application with the Securities
Division for the renewal of its franchise registration and included an amended FDD that was dated March 20,

CONSENT ORDER

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2018. The amended FDD failed to disclose material information regarding a pending civil lawsuit that was filed against its affiliate (360 Painting, LLC) in July 2017.

7. On April 25, 2019, Pro-Lift Doors Franchise, LLC filed an application with the Securities Division for the renewal of its franchise registration and included an amended FDD that was dated April 17, 2019. The amended FDD failed to disclose material information regarding a pending civil lawsuit that was filed against its parent company (Premium Service Brands, LLC) in March 2019.

Registration Status

8. On July 11, 2017, Pro-Lift Doors Franchise, LLC filed an initial franchise registration 8 application with the Securities Division (DFI File No. 70016307) to become registered to offer and sell its 9 franchises in Washington, and subsequently filed applications to renew its franchise registration. The last 10 permit issued was effective on July 9, 2021. In December 2021, Pro-Lift Doors Franchise, LLC sent 11 correspondence to the Securities Division stating that it was withdrawing its registration in all franchise 12 registration states, including Washington. In March 2022, Pro-Lift Doors Franchise, LLC filed a new 13 franchise registration application. To date, that franchise application has not yet been approved. 14

Based upon the above Findings of Fact, the following Conclusions of Law are made:

CONCLUSIONS OF LAW

1. The offer or sale of franchises as described above constitutes the offer or sale of a franchise as defined in RCW 19.100.010(6), RCW 19.100.010(12), and RCW 19.100.010(17).

2. Respondent Pro-Lift Doors Franchise, LLC violated RCW 19.100.170 by failing to disclose 20 material facts in connection with the offer and sale of franchises in the state of Washington and in applications filed with the Securities Division. 22

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CONSENT ORDER

1	Based upon the foregoing and finding it in the public interest:		
2	CONSENT ORDER		
3	IT IS AGREED AND ORDERED that Respondent Pro-Lift Doors Franchise, LLC and its agents and		
4	employees shall each cease and desist from any violation of RCW 19.100.170.		
5	IT IS FURTHER AGREED AND ORDERED that Respondent Pro-Lift Doors Franchise, LLC shall		
6	be liable for and shall pay investigative costs of \$2,000 prior to the entry of this Consent Order.		
7	IT IS FURTHER AGREED that the Securities Division has jurisdiction to enter this Consent Order.		
8	IT IS FURTHER AGREED that Respondent Pro-Lift Doors Franchise, LLC enters into this Consent		
9	Order freely and voluntarily and with a full understanding of its terms and significance.		
10	IT IS FURTHER AGREED that in consideration of the foregoing, Respondent Pro-Lift Doors		
11	Franchise, LLC waives its right to a hearing and to judicial review of this matter.		
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13			
14	Signed this <u>3</u> day of <u>October</u> , 2022		
15	Signed by:		
16	Pro-Lift Doors Franchise, LLC		
17	By /s/		
18	Paul Flick, CEO		
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	CONSENT ORDER DEPARTMENT OF FINANCIAL INSTITUTIONS Securities Division PO Box 9033 Olympia, WA 98507-9033 360-902-8760		

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2	SIGNED and ENTERED this 4th o	day of October, 2022
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4		
5	A CONTRACTOR OF THE OWNER	
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7	私 、人	
8	2 Martin College	
9		/s/ William M. Beatty
10		Securities Administrator
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12	Approved by:	Presented by:
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14	/s/ Brian J. Guerard	/s/ Robert Kondrat
15	Chief of Enforcement	Financial Legal Examiner
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	CONSENT ORDER	DEPARTMENT OF FINANCIAL INSTITUTIONS Securities Division PO Box 9033 Olympia, WA 98507-903 360-902-8760 5
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