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**STATE OF WASHINGTON
DEPARTMENT OF FINANCIAL INSTITUTIONS
SECURITIES DIVISION**

IN THE MATTER OF DETERMINING
whether there has been a violation of the
Securities Act of Washington by:

OSAGE PACIFIC ADVISORS, INC. (CRD
No. 284257),

Respondent.

Order Number: S-21-3156-22-CO01

CONSENT ORDER

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THE STATE OF WASHINGTON TO: OSAGE PACIFIC ADVISORS, INC. (CRD No.
284257)

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INTRODUCTION

On February 4, 2022, the Securities Administrator of the Department of Financial Institutions,
Securities Division (“Securities Division”) entered Statement of Charges and Notice of Intent to Enter an
Order to Cease and Desist, to Impose a Fine, and to Charge Costs, Order No. S-21-3156-22-SC01
 (“Statement of Charges”) against Osage Pacific Advisors, Inc. (“Respondent”). After the Statement of
Charges was issued, Respondent removed former representative Jennifer McWethy’s profile from the
website alignable.com.

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CONSENT ORDER

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**DEPARTMENT OF FINANCIAL INSTITUTIONS
Securities Division
PO Box 9033
Olympia, WA 98507-9033
360-902-8760**

1 Pursuant to the Securities Act of Washington, Chapter 21.20 RCW, the Securities Division and
2 the Respondent do hereby enter into this Consent Order in settlement of the above-captioned matter. The
3 Securities Division believes that entry of an agreed Consent Order is in the public interest and is
4 appropriate for the protection of investors. Based on the Findings of Fact and Conclusions of Law set
5 forth in the Statement of Charges, which are incorporated herein by reference, Respondent and the
6 Securities Division agree to the following:

7
8 **CONSENT ORDER**

9 Based on the foregoing and finding it in the public interest:

10 IT IS AGREED AND ORDERED that Respondent shall cease and desist from violations of RCW
11 21.20.040.

12 IT IS FURTHER AGREED AND ORDERED that Respondent shall be liable for and pay a fine of
13 \$15,000 prior to the entry of this Consent Order.

14 IT IS FURTHER AGREED that the Securities Division has jurisdiction to enter this Consent
15 Order.

16 IT IS FURTHER AGREED that Respondent enters into this Consent Order freely and voluntarily
17 with full understanding of its terms and significance.

18 IT IS FURTHER AGREED that in consideration of the foregoing, Respondent waives its right to a
19 hearing and to judicial review of this matter pursuant to RCW 21.20.440 and Chapter 34.05 RCW.
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1 **AUTHORITY AND PROCEDURE**

2 This Consent Order is entered pursuant to the provisions of Chapter 21.20 RCW and is subject to
3 the provisions of RCW 21.20.120 and Chapter 34.05 RCW. Respondent has been notified of its right to an
4 administrative hearing and has waived that right.

5 WILLFUL VIOLATION OF THIS ORDER IS A CRIMINAL OFFENSE.

6 SIGNED this 26 day of July, 2022.

7 Signed by:

8 Osage Pacific Advisors, Inc.

9
10 /s/
11 Phillip Vigil (CRD No. 2693782)
12 President and Chief Compliance Officer

13 SIGNED and ENTERED this 9th day of August, 2022.

14 /s/
15 William M. Beatty
16 Securities Administrator

17 Approved by:

18 Presented by:

19 /s/
20 Brian Guerard
21 Chief of Enforcement

22 /s/
23 Brook Kellerman
24 Compliance Legal Examiner