

**STATE OF WASHINGTON
DEPARTMENT OF FINANCIAL INSTITUTIONS
SECURITIES DIVISION**

IN THE MATTER OF DETERMINING) Order No.: S-20-2932-21-CO01
whether there has been a violation of the)
Securities Act of Washington by:) CONSENT ORDER
)
Jacqueline Jacobsen (CRD No. 2504257))
)
Respondent)

INTRODUCTION

On June 1, 2021, the Securities Administrator of the State of Washington issued Statement of Charges and Notice of Intent to Enter Order to Cease and Desist, to Charge Costs, to Impose a Fine, and to Suspend Registrations, order number S-20-2932-21-SC01 (the “Statement of Charges”), against Respondent Jacqueline Jacobsen. The Securities Division and the Respondent Jacqueline Jacobsen do hereby agree to this Consent Order in settlement of the above captioned matter. The Securities Division, has, in the Statement of Charges, made certain allegations and conclusions, set forth under the headings “Tentative Findings of Fact” and “Conclusions of Law.” Respondent Jacqueline Jacobsen neither admits nor denies the Findings of Fact and Conclusions of Law which are hereby incorporated by reference into this Consent Order.

CONSENT ORDER

Based upon the foregoing and finding it in the public interest:

IT IS AGREED AND ORDERED that Respondent Jacqueline Jacobsen shall cease and desist from violating RCW 21.20.020.

IT IS FURTHER AGREED AND ORDERED that Respondent Jacqueline Jacobsen shall pay investigative costs in the amount of \$1,500 prior to entry of this Consent Order.

IT IS FURTHER AGREED AND ORDERED that Respondent Jacqueline Jacobsen shall pay a fine in the amount of \$5,000 prior to entry of this Consent Order.

1 IT IS FURTHER AGREED that Respondent Jacqueline Jacobsen shall forego all fees attributable to clients
2 who are residents of the State of Washington for a period of six months beginning on the date of entry of this Consent
3 Order.

4 IT IS FURTHER AGREED that Respondent Jacqueline Jacobsen shall provide records to the Securities
5 Division that demonstrate to the Securities Division's satisfaction that she has foregone all fees attributable to clients
6 who are residents of the State of Washington for a period of six months beginning on the date of entry of this Consent
7 Order.

8 IT IS FURTHER AGREED that Respondent Jacqueline Jacobsen shall neither solicit, nor accept new clients
9 who are residents of the State of Washington for a period of six months beginning on the date of entry of this Consent
10 Order.

11 IT IS FURTHER AGREED that the Securities Division has jurisdiction to enter this Consent Order.

12 IT IS FURTHER AGREED that Respondent Jacqueline Jacobsen enters into this Consent Order freely and
13 voluntarily, and with a full understanding of its terms and significance.

14 IT IS FURTHER AGREED that in consideration of the foregoing, Respondent Jacqueline Jacobsen waives her
15 right to a hearing on and to judicial review of this matter.

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18 Signed this 30th day of September, 2021.

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20 Signed by:


21 s/ Jacqueline Jacobsen
22 Jacqueline Jacobsen

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2 SIGNED and ENTERED this 12th day of October 2021.
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7 William M. Beatty
8 Securities Administrator
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10 Presented by:

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13 Edward R. Thunen
14 Financial Legal Examiner
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16 Reviewed by:

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18 Brian J. Guerard
19 Financial Legal Examiner Supervisor
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21 Approved by:

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23 Suzanne Sarason
24 Chief of Enforcement
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