STATE OF WASHINGTON DEPARTMENT OF FINANCIAL INSTITUTIONS SECURITIES DIVISION

IN THE MATTER OF DETERMINING whether there has been a violation of the Securities Act of Washington by:) Order No.: S-17-2305-18-CO01)) CONSENT ORDER
Lawson Financial Corporation; and Robert Warren Lawson,)))
Respondents.)

INTRODUCTION

On November 9, 2017, the Securities Administrator of the state of Washington issued a Statement of Charges and Notice of Intent to Enter Order to Revoke Registration and to Deny Future Registrations ("Statement of Charges"), Order number S-17-2305-17-SC01, against the respondents, Lawson Financial Corporation and Robert Warren Lawson. Pursuant to the Securities Act of Washington, RCW 21.20, the Securities Division and the respondents, Lawson Financial Corporation and Robert Warren Lawson, do hereby enter into this Consent Order in settlement of the matters set forth in the Statement of Charges. The Securities Division has, in the Statement of Charges, made certain allegations and conclusions set forth under the headings "Tentative Findings of Fact" and Conclusions of Law."

The respondents, Lawson Financial Corporation and Robert Warren Lawson, neither admit nor deny the Tentative Findings of Fact and Conclusions of Law, which are hereby incorporated by reference into this Consent Order.

CONSENT ORDER

Based upon the foregoing and finding it in the public interest:

IT IS AGREED AND ORDERED that any broker-dealer, securities salesperson, investment adviser, or investment adviser representative registration applications Robert Warren Lawson may file in the future will be denied.

IT IS FURTHER AGREED AND ORDERED that any broker-dealer or investment adviser registration applications Lawson Financial Corporation may file in the future will be denied.

consent order 1 DEPARTMENT OF

Presented by: Approved by: An Elm Suzanne Sarason Brian J. Guerard Financial Legal Examiner Chief of Enforcement Reviewed by: Jack McClellan Financial Legal Examiner Supervisor