







**CONCLUSIONS OF LAW**

1           1.       The offer and sale of PFI and PFIF Notes as described above constitute the offer and/or sale of a security  
2 as defined in RCW 21.20.005(14) and (17).

3           2.       The offer or sale of said securities are in violation of RCW 21.20.140 because no registration for such an  
4 offer and/or sale is on file with the Securities Administrator, state of Washington.

5           3.       Respondents Jack T. Jarrell and OAG Wealth Management, LLC violated RCW 21.20.010 because, as set  
6 forth in the Tentative Findings of Fact, in connection with the offer, sale or purchase of any security, directly or indirectly,  
7 made misstatements of material facts or omitted to state material facts necessary in order to make the statements made, in  
8 the light of circumstances under which they were made, not misleading.

9           4.       Respondent Jack T. Jarrell violated RCW 21.20.040 by offering or selling said securities while not  
10 registered as a securities salesperson or broker-dealer in the state of Washington.

11           5.       Respondent OAG Wealth Management, LLC violated RCW 21.20.040 by offering or selling said  
12 securities while not registered as a broker-dealer in the state of Washington.

13           6.       The SEC order described above constitutes an order barring Jack T. Jarrell from association with  
14 any broker, dealer, and investment adviser. Jack T. Jarrell’s bar constitutes grounds for the denial, suspension,  
15 and revocation of his application or registration of any broker-dealer, salesperson, investment adviser  
16 representative, or investment adviser pursuant to RCW 21.20.110(1)(e)(i).

17           7.       The SEC order described above constitutes an order entered against OAG. OAG’s SEC order  
18 constitutes grounds for the denial, suspension, and revocation of its application or registration of any broker-dealer or  
19 investment adviser pursuant to RCW 21.20.110(1)(e)(ii).

**CONSENT ORDER**

21           Based upon the foregoing and finding it in the public interest:

22           IT IS AGREED AND ORDERED that Respondents Jack T. Jarrell and OAG Wealth Management, LLC, their  
23 agents and employees shall each cease and desist from violating RCW 21.20.140, the securities registration section of  
24 the Securities Act of Washington.

1 IT IS FURTHER AGREED AND ORDERED that Respondents Jack T. Jarrell and OAG Wealth Management,  
2 LLC, their agents and employees each shall cease and desist from violating RCW 21.20.010, the anti-fraud section of  
3 the Securities Act of Washington.

4 IT IS FURTHER AGREED AND ORDERED that Respondent Jack T. Jarrell, his agents and employees each  
5 shall cease and desist from violating RCW 21.20.040, the section of the Securities Act of Washington requiring  
6 registration of securities salespersons and broker-dealers.

7 IT IS FURTHER AGREED AND ORDERED that Respondent OAG Wealth Management, LLC, its agents and  
8 employees each shall cease and desist from violating RCW 21.20.040, the section of the Securities Act of Washington  
9 requiring registration of broker-dealers.

10 IT IS FURTHER AGREED AND ORDERED that any broker-dealer, investment adviser, securities salesperson  
11 or as an investment adviser representative registration applications Jack T. Jarrell may file in the future will be denied.

12 IT IS FURTHER AGREED AND ORDERED that any broker-dealer or investment adviser registration  
13 applications OAG Wealth Management, LLC may file in the future will be denied.

14 IT IS FURTHER AGREED that the Securities Division has jurisdiction to enter this Consent Order.

15 IT IS FURTHER AGREED that Respondents Jack T. Jarrell and OAG Wealth Management, LLC entered into  
16 this Consent Order freely and voluntarily and with a full understanding of its terms and significance.

17 IT IS FURTHER AGREED that in consideration of the foregoing, Respondents Jack T. Jarrell and OAG Wealth  
18 Management, LLC waive their right to a hearing and to judicial review of this matter pursuant to RCW 21.20.440 and  
19 Chapter 34.05 RCW.

20 WILLFUL VIOLATION OF THIS ORDER IS A CRIMINAL OFFENSE.

21 Signed this 28th day of August 2018.

22  
23 /s/  
Jack T. Jarrell for OAG Wealth Management, LLC

23 /s/  
Jack T. Jarrell, Individually

1 SIGNED and ENTERED this 5th day of September, 2018.

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William M. Beatty  
Securities Administrator

Approved by:

Presented by:



Suzanne Sarason  
Chief of Enforcement

Martin Cordell  
Financial Legal Examiner

Reviewed by:

Jack McClellan  
Financial Legal Examiner Supervisor