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**STATE OF WASHINGTON  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
SECURITIES DIVISION**

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IN THE MATTER OF DETERMINING ) Order No. S-14-1550-16-CO02  
whether there has been a violation of the )  
Securities Act of Washington by: ) CONSENT ORDER AS TO  
)  
Troy Van Sickle; Shelley Grimes; Surreal ) TROY VAN SICKLE  
Holdings, LLC, )  
)  
Respondents. )

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**INTRODUCTION**

On January 7, 2016, the Securities Administrator of the Securities Division of the Department of Financial Institutions (“Securities Division”) issued a Statement of Charges and Notice of Intent to Enter Order to Cease and Desist, to Impose Fines, and to Charge Costs (“Statement of Charges”) Order Number S-14-1550-15-SC01, against Respondents Troy Van Sickle; Shelley Grimes; and Surreal Holdings, LLC. Pursuant to the Securities Act of Washington, RCW 21.20, the Securities Division and Respondent Troy Van Sickle do hereby enter into this CONSENT ORDER in settlement of the matters set forth in the Statement of Charges. Respondent Troy Van Sickle neither admits nor denies the Findings of Fact and Conclusions of Law as set forth in the Statement of Charges, which are hereby incorporated by reference into this Consent Order.

**CONSENT ORDER**

Based upon the foregoing and finding it in the public interest:

IT IS AGREED AND ORDERED that Respondent Troy Van Sickle and his agents and employees shall each cease and desist from violating RCW 21.20.140, the securities registration section of the Securities Act of Washington.

IT IS FURTHER AGREED AND ORDERED that Respondent Troy Van Sickle and his agents and employees each shall cease and desist from violating RCW 21.20.040, the section of the Securities Act of Washington requiring registration of securities salespersons and broker-dealers.

IT IS FURTHER AGREED AND ORDERED that Respondent Troy Van Sickle and his agents and employees each shall cease and desist from violating RCW 21.20.010, the anti-fraud section of the Securities Act of Washington.

IT IS FURTHER AGREED AND ORDERED that Respondent Troy Van Sickle shall be liable for and shall pay a fine of \$20,000 prior to the entry of this Consent Order.

1 IT IS FURTHER AGREED AND ORDERED that Respondent Troy Van Sickle shall be liable for and shall  
2 pay investigative costs of \$10,000 prior to the entry of this Consent Order.

3 IT IS FURTHER AGREED that, except in an action by the Securities Division of the Washington State  
4 Department of Financial Institutions to enforce the obligations of Respondent Troy Van Sickle in this Consent Order,  
5 this Consent Order is not intended to be used as an admission of or evidence of any fault, omission or liability of  
6 Respondent Troy Van Sickle in any civil, criminal, arbitration, or administrative proceeding.

7 IT IS FURTHER AGREED that, for any person or entity not a party to this Consent Order, this Consent  
8 Order is not intended to limit or create any private rights or remedies against, or limit or create defenses of  
9 Respondent Troy Van Sickle.

10 IT IS FURTHER AGREED that the Securities Division has jurisdiction to enter this Consent Order.

11 IT IS FURTHER AGREED that Respondent Troy Van Sickle entered into this Consent Order freely and  
12 voluntarily and with a full understanding of its terms and significance.

13 IT IS FURTHER AGREED that in consideration of the foregoing, Respondent Troy Van Sickle waives his  
14 right to a hearing and to judicial review of this matter pursuant to RCW 21.20.440 and Chapter 34.05 RCW.

15 WILLFUL VIOLATION OF THIS ORDER IS A CRIMINAL OFFENSE.

16 Signed this 18th day of January, 2017.

17 Signed by:

17 Approved as to Form by:

18 /s/  
19 \_\_\_\_\_  
20 Troy Van Sickle, Individually

21 /s/  
22 \_\_\_\_\_  
23 Mark Mestel  
24 WSBA No. 8350

25 SIGNED and ENTERED this 25th day of January, 2016.

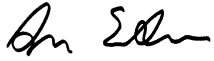


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27 William M. Beatty  
28 Securities Administrator

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Approved by:

Presented by:



/s/

\_\_\_\_\_  
Suzanne Sarason  
Chief of Enforcement

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Martin Cordell  
Financial Legal Examiner

Reviewed by:

\_\_\_\_\_  
Jack McClellan  
Financial Legal Examiner Supervisor