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**STATE OF WASHINGTON
DEPARTMENT OF FINANCIAL INSTITUTIONS
SECURITIES DIVISION**

IN THE MATTER OF DETERMINING
whether there has been a violation of the
Securities Act of Washington by:

Order No.: S-10-170-11-CO01

TIMOTHY CHARLES CROSS,

Respondent.

CONSENT ORDER

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INTRODUCTION

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On May 27, 2011, the Securities Administrator of the State of Washington issued Statement of Charges and Notice of Intent to Enter Order to Suspend Registrations, Impose a Fine, and Recover Costs (“Statement of Charges”), S-10-170-11-SC01, against the Respondent Timothy Charles Cross. The Securities Division and Respondent Timothy Charles Cross do hereby agree to this Consent Order in settlement of the above captioned matter. The Securities Division has, in the Statement of Charges, made certain allegations and conclusions, set forth under the headings “Tentative Findings of Fact” and “Conclusions of Law.” The Respondent Timothy Charles Cross neither admits nor denies the Tentative Findings of Fact and Conclusions of Law, which are hereby incorporated by reference into this Consent Order.

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On July 13, 2011, the Respondent Timothy Charles Cross entered into a Letter of Acceptance, Waiver and Consent (“AWC”) with FINRA, for the purpose of settlement after FINRA’s investigation into the same matter. The sanctions in the AWC include suspending Respondent Timothy Charles Cross from associating with any FINRA member firm in any principal or supervisory capacity for six months. In addition, before associating with any FINRA member firm in any principal or supervisory capacity, Respondent Timothy Charles Cross will have to retake and pass the examination for registration as a General Securities Principal.

CONSENT ORDER

Based upon the foregoing and finding it in the public interest:

IT IS AGREED that pursuant to RCW 21.20.110, the Respondent Timothy Charles Cross shall be liable for and shall pay a fine in the amount of \$10,000 on or before the date of entry of this Consent Order.

IT IS FURTHER AGREED that the Respondent Timothy Charles Cross shall be liable for and shall pay the Securities Division the costs and other expenses incurred in the investigation of this matter in the amount of \$5,000 on or before the date of entry of this Consent Order.

IT IS FURTHER AGREED that the Securities Division has jurisdiction to enter this Consent Order.

IT IS FURTHER AGREED that the Respondent Timothy Charles Cross enters into this Consent Order freely and voluntarily and with full understanding of its terms and significance.

IT IS FURTHER AGREED that in consideration of the foregoing, the Respondent Timothy Charles Cross waives his right to a hearing and to judicial review of this matter pursuant to RCW 21.20.440 and Chapter 34.05 RCW.

WILLFUL VIOLATION OF THIS ORDER IS A CRIMINAL OFFENSE.

Signed this 11th day of August 2011.

Approved for Entry by:

/s/

C. Dean Little, Attorney for Respondent
Washington State Bar No. 1269

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CONSENT ORDER

DEPARTMENT OF FINANCIAL INSTITUTIONS
Securities Division
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