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**STATE OF WASHINGTON
DEPARTMENT OF FINANCIAL INSTITUTIONS
SECURITIES DIVISION**

IN THE MATTER OF DETERMINING
Whether there has been a violation
of the Securities Act of Washington by:

Stat Investment Strategies, Corp.

Respondent

Order No. S-08-371-09-FO01

ENTRY OF FINDINGS OF FACT AND
CONCLUSIONS OF LAW AND FINAL ORDER TO
REVOKE INVESTMENT ADVISER
REGISTRATION

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THE STATE OF WASHINGTON TO:

Stephan John Miskjian, President
Stat Investment Strategies Corp.
865 South Figueroa Street, Suite 1388
Los Angeles, California 90017

Stephan John Miskjian, Registered Agent
1226 North Brand Boulevard
Glendale, California 91202

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STATEMENT OF CHARGES

On December 01, 2008, the Securities Administrator of the State of Washington issued a Summary Order Suspending Investment Adviser Registration S-08-371-08-TO01, hereinafter referred to as the "Summary Order," against Stat Investment Strategies, Corp. The Summary Order, together with a Notice of Opportunity to Defend and Opportunity for Hearing, hereinafter referred to as "Notice of Opportunity for Hearing," and an Application for Adjudicative Hearing, hereinafter referred to as "Application for Hearing", were received via certified mail by Stephan Miskjian as well as an A. Hicks on or about December 05, 2008. The Notice of Opportunity for Hearing advised Stat Investment Strategies, Corp. that a written application for an administrative hearing on the Statement of Charges must received within 20 days from the date of receipt of the notice. Neither Stat Investment Strategies, Corp., Stephan

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ENTRY OF FINDINGS OF FACT AND
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ORDER TO REVOKE INVESTMENT
ADVISER REGISTRATION

1 Miskjian nor A. Hicks requested an administrative hearing within 20 days of receipt of the Summary Order, either on
2 the Application for Hearing provided or otherwise. The Securities Administrator therefore will adopt as final the
3 tentative findings of fact and conclusions of law as set forth in the Summary Order. The Securities Administrator
4 finds as follows:

5 **FINDINGS OF FACT**

6 **I.**

7 Stat Investment Strategies, Corp. (or "Stat") is a dissolved corporation organized under the laws of
8 California with its principal place of business at 865 South Figueroa Street, Suite 1388; Los Angeles, California
9 90017. Stephan John Miskjian is the President of Stat and its registered agent with a listed address of 1226 N.
10 Brand Blvd.; Glendale, California 91202. The fiscal year end for Stat is December 31.

11 **II.**

12 Stat is currently registered as an investment adviser with the Securities Division pursuant to RCW
13 21.20.040 under file number 30002624. That registration expires on December 31, 2008. The file number of
14 Stat in the Investment Adviser Registration Depository ("IARD") is 0133212.

15 **III.**

16 Stephan John Miskjian is currently licensed as an investment adviser representative for Stat pursuant to
17 RCW 21.20.040 under Central Registration Depository ("CRD") file number 2844720.

18 **IV.**

19 In accordance with WAC 460-24A-060, each investment adviser registered with the Securities Division is
20 required to file a balance sheet prepared in accordance with generally accepted accounting principles as of the
21 end of its fiscal year not more than ninety days after the end of its fiscal year.

22 **V.**

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24 ENTRY OF FINDINGS OF FACT AND
25 CONCLUSIONS OF LAW AND FINAL
26 ORDER TO REVOKE INVESTMENT
27 ADVISER REGISTRATION

1 In accordance with WAC 460-24A-205, each investment adviser registered with the Securities Division is
2 required to file an updated Form ADV with the IARD within ninety days of the end of its fiscal year.

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4 VI.

5 During a recent review, the Securities Division discovered that the most recent balance sheet submitted by
6 Stat to the Securities Division is a balance sheet dated September 30, 2005 and that the most recent Form ADV
7 filed with the IARD was filed on September 14, 2005.

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9 VII.

10 On January 11, 2008, the Securities Division sent a memorandum to all investment advisers
11 registered in the State of Washington with a fiscal year end in December reminding them of the
12 requirement to submit a fiscal year-end balance sheet prepared in accordance with generally accepted
13 accounting principles.

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15 VIII.

16 On August 13, 2008, the Securities Division sent an e-mail message to Stephan John Miskjian at
17 Stat indicating that the last updated Form ADV filed with the IARD was filed on September 14, 2005
18 and that an annual updated Form ADV must be filed on IARD within 90 days of the end of its fiscal
19 year.

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21 IX.

22 On October 6, 2008, the Securities Division sent an e-mail message to Stat reminding Stat that the
23 Division had not yet received a balance sheet for its last fiscal year end as required by WAC 460-24A-060 and
24 asking for confirmation that Stat had filed an updated Form ADV with IARD. That message indicated that the

1 attempts of the Securities Division to contact Stat regarding these issues have been unsuccessful and that failure
2 to submit the required items may result in a referral to the exams and/or enforcement units for review.

3 X.

4 On October 13, 2008 at approximately 2:18 p.m., Faith Anderson, a financial legal examiner with the
5 Securities Division, called Stat to speak to Stephan John Miskjian concerning the need to file a balance sheet
6 dated December 31, 2007. Faith Anderson left a voicemail message that the Securities Division intended to
7 revoke the registration of Stat if we did not receive a balance sheet dated December 31, 2007 by the close of
8 business the next day.

9 XI.

10 As of the date of this order, Stat has not submitted a balance sheet for the year ended December 31, 2007
11 or any later period and has not filed an update to its Form ADV on IARD since September 14, 2005.

12 XII.

13 The Securities Administrator finds that the continued registration of Stat as an investment adviser as
14 described in the above Findings of Fact presents a threat to the investing public.

15 Based upon the above Findings of Fact, the following Conclusions of Law are made:

16 CONCLUSIONS OF LAW

17 I.

18 Stat has willfully violated WAC 460-24A-060 because it has not filed a balance sheet prepared in
19 accordance with generally accepted accounting principles dated December 31, 2007 or later.

20 II.

21 Stat has willfully violated WAC 460-24A-205 because it has not filed an updated Form ADV with the
22 IARD since September 14, 2005.

III.

The willful violations of WAC 460-24A-060 and 460-24A-205 constitute grounds for the entry of an order summarily revoking the registration of Stat as an investment adviser under RCW 21.20.110(1)(b).

Based on the foregoing, and finding it in the public interest:

FINAL ORDER

It is hereby ORDERED That the investment adviser registration of Stat Investment Strategies, Corp. is revoked.

AUTHORITY AND PROCEDURE

This Final Order is entered pursuant to the provisions of RCW 21.20.110(1) and is subject to the provisions of RCW 21.20.120 and Chapter 34.05 RCW. The Respondent has the right to petition the superior court for judicial review of this agency action under the provisions of 34.05 RCW. For the requirements for filing a Petition for Judicial Review, see RCW 34.05.510 and sections following.

WILLFUL VIOLATION OF THIS ORDER IS A CRIMINAL OFFENSE.

DATED AND ENTERED this 13th day of April, 2009.



Michael E. Stevenson
Administrator of Securities

Approved By:



Martin Cordell
Financial Legal Examiner Supervisor

Presented by:



Brad Ferber
Financial Legal Examiner