## STATE OF WASHINGTON DEPARTMENT OF FINANCIAL INSTITUTIONS SECURITIES DIVISION

) Order No. S-08-181-14-FO01
) ) FINAL ORDER AS TO ROBERT EDWARDS d/b/a E-Z
) UNLIMITED
)

## DIRECTOR'S CONSIDERATION

A. <u>Procedural History.</u> This matter has come before the Director of the Department of Financial Institutions of the State of Washington ("Director") pursuant to RCW 34.05.464. On August 11, 2008, Director, through the Securities Administrator, Michael E. Stevenson, entered a Statement of Charges and Notice of Intent to Enter Order to Cease and Desist and to Impose Fines and Charge Costs, S-08-181-08-SC01, ("Statement of Charges") against Robert Edwards a.k.a. Robert L. or Bob Edwards and d/b/a E-Z Unlimited.

The Statement of Charges, together with a Notice of Opportunity to Defend and Opportunity for Hearing and an Application for Adjudicative Hearing, were served on Respondent, Robert Edwards d/b/a E-Z Unlimited, on August 14, 2008. Respondent, Robert Edwards d/b/a E-Z Unlimited, submitted a Verified Motion To Dismiss Statement of Charges For Lack of Jurisdiction with the Securities Division on September 3, 2008.

On, September 26, 2013, OAH issued a Notice of Prehearing Conference from ALJ Mark Kim. The Notice of Prehearing Conference contained the following language: "You must participate in the conference. If you do not, a default may be entered. This means that you lose the opportunity to further challenge the agency action. RCW 35.05.440."

On October 16, 2013, the Department's representative, Assistant Attorney General Ian McDonald, attended the prehearing conference by telephone, but Respondent Robert Edwards d/b/a E-Z Unlimited failed to appear or otherwise contact OAH. On November 1, 2013, ALJ issued an Order of Default against Robert Edwards d/b/a E-Z Unlimited and dismissed the Respondent's appeal.

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FINAL ORDER

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Pursuant to RCW 34.05.464 and WAC 10-08-211, Respondent Robert Edwards d/b/a E-Z Unlimited had twenty (20) days from the date of service of the Order of Default to file a Petition for review. Respondent Robert Edwards d/b/a E-Z Unlimited did not file a Petition for Review during the statutory period.

- B. <u>Record on Review</u>. The record presented to the Director for his review and for entry of a final decision included the following:
  - 1. Statement of Charges, with documentation of service;
  - Verified Motion To Dismiss Statement of Charges For Lack of Jurisdiction filed by Robert Edwards d/b/a E-Z Unlimited;
  - 3. Notice of Prehearing Conference, dated September 26, 2013, with certificate of service; and
  - 4. Order of Default, dated November 1, 2013, with certificate of service.
- C. <u>Factual Findings and Grounds for Order</u>. Pursuant to RCW 34.05.461, the Director hereby adopts the Statement of Charges, which is attached hereto.

## II. FINAL ORDER

Based on the foregoing and the Director having considered the record and being otherwise fully advised, NOW, THEREFORE:

## A. IT IS HEREBY ORDERED that:

- Respondent, Robert Edwards d/b/a E-Z Unlimited, shall cease and desist from further violations of RCW 21.20.010; RCW 21.20.140 and RCW 21.20.040.
- Respondent, Robert Edwards d/b/a E-Z Unlimited, shall be liable for and pay a fine of Five Thousand Dollars (\$5,000).
- Respondent, Robert Edwards d/b/a E-Z Unlimited, shall be liable for and shall pay costs of Two
  Thousand and Five Hundred Dollars (2,500) for the investigation of his violations of the Securities
  Act of Washington, chapter 21.20. RCW.
- B. Reconsideration. Pursuant to RCW 34.05.470, the Respondent has the right to file a Petition for Reconsideration stating the specific grounds upon which relief is requested. The Petition must be filed in the Office of the Director of the Department of Financial Institutions by courier at 150 Israel Road SW, Tumwater, Washington 98501, or by U.S. Mail at P.O. Box 41200, Olympia, Washington 98504-1200, within ten (10) days of service of the Final Order upon Respondent. The Petition for Reconsideration shall not stay the effectiveness of this order nor is a Petition for Reconsideration a prerequisite for seeking judicial review in this matter.

A timely Petition for Reconsideration is deemed denied if, within twenty (20) days from the date the petition is filed, the agency does not (a) dispose of the petition or (b) serve the parties with a written notice specifying the date by which it will act on a petition.

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- C. <u>Stay of Order</u>. The Director has determined not to consider a Petition to Stay the effectiveness of this order. Any such request should be made in connection with a Petition for Judicial Review made under chapter 34.05 RCW and RCW 34.05.550.
- D. <u>Judicial Review</u>. Respondent has the right to petition the superior court for judicial review of this agency action under the provisions of chapter 34.05 RCW. For the requirements for filing a Petition for Judicial Review, see RCW 34.050.510 and sections following.
- E. <u>Non-compliance with Order</u>. If you do not comply with the terms of this order, the Department may seek its enforcement by the Office of the Attorney General to include the collection of fines and fees imposed herein.
- F. <u>Service</u>. For purposes of filing a Petition for Reconsideration or a Petition for Judicial Review, service is effective upon deposit of this order in the U.S. Mail, declaration of service attached hereto.

DATED this 3vd day of February

OEPHO.

2014.

STATE OF WASHINGTON
DEPARTMENT OF FINANCIAL INSTITUTIONS

Now Stone

SCOTT JARVIS, Director

Washington State Department of Financial Institutions