

1  
2  
3  
4  
5  
6  
7  
8

**STATE OF WASHINGTON  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
SECURITIES DIVISION**

IN THE MATTER OF DETERMINING  
Whether there has been a violation  
of the Securities Act of the State  
of Washington by:

Sant Ambrozic LLC; Jason Scott Sant, now  
known as Jim Brick; and Tyler Thomas  
Ambrozic,

Respondents

Order Number S-07-574-10-FO01

ENTRY OF FINDINGS OF FACT AND  
CONCLUSIONS OF LAW AND FINAL ORDER  
TO CEASE AND DESIST AND TO CHARGE  
COSTS AGAINST JASON SCOTT SANT

9  
10  
11

THE STATE OF WASHINGTON TO: Jim Brick, formerly known as Jason Sant

12  
13

**INTRODUCTION**

14  
15  
16  
17  
18  
19  
20  
21  
22

On December 18, 2009, the Securities Administrator of the State of Washington issued Order Number S-07-574-09-SC01, Statement of Charges and Notice of Intent to Enter an Order to Cease and Desist and to Charge Costs ("Statement of Charges"). The Statement of Charges, together with a Notice of Opportunity to Defend and Opportunity for Hearing ("Notice of Opportunity for Hearing") and an Application for Adjudicative Hearing ("Application for Hearing"), were served on Jason Scott Sant ("Sant") on December 28, 2009. The Notice of Opportunity for Hearing advised Sant that a written application for an administrative hearing on the Statement of Charges must be received within twenty days from the date of receipt of the notice. Sant failed to request an administrative hearing within twenty days of receipt of the Statement of Charges and Notice of Opportunity for Hearing, either on the Application for Hearing provided or otherwise.

23  
24  
25  
26  
27

ENTRY OF FINDINGS OF FACT AND  
CONCLUSIONS OF LAW AND FINAL  
ORDER TO CEASE AND DESIST AND TO  
CHARGE COSTS AGAINST JASON SCOTT  
SANT

1 The Securities Administrator therefore will adopt as final the Findings of Fact and Conclusions of  
2 Law as set forth in the Statement of Charges, which are hereby incorporated by reference, and enter a final  
3 order against Sant to cease and desist from violations of the Securities Act and to charge costs.

4 **FINAL ORDER**

5 Based upon the foregoing and finding it in the public interest:

6 It is hereby ORDERED that Jason Scott Sant, now known as Jim Brick, and his agents and  
7 employees, each shall cease and desist from offering or selling securities in any manner in violation of  
8 RCW 21.20.140, the securities registration section of the Securities Act of Washington.

9 It is further ORDERED that Jason Scott Sant, now known as Jim Brick, and his agents and  
10 employees, each shall cease and desist from offering or selling securities in any manner in violation of  
11 RCW 21.20.040, the securities broker-dealer and securities salesperson registration section of the  
12 Securities Act.

13 It is further ORDERED that Jason Scott Sant, now known as Jim Brick, and his agents and  
14 employees, each shall cease and desist from offering or selling securities in any manner in violation of  
15 RCW 21.20.010, the anti-fraud section of the Securities Act.

16 It is further ORDERED that Jason Scott Sant, now known as Jim Brick, shall pay investigative  
17 costs of \$3,000 to the Securities Division of the Department of Financial Institutions.  
18

19  
20 **AUTHORITY AND PROCEDURE**

21  
22  
23 ENTRY OF FINDINGS OF FACT AND  
24 CONCLUSIONS OF LAW AND FINAL  
25 ORDER TO CEASE AND DESIST AND TO  
26 CHARGE COSTS AGAINST JASON SCOTT  
27 SANT

1 This Final Order is entered pursuant to the provisions of Chapter 21.20 RCW and is subject to  
2 the provisions of Chapter 34.05 RCW. Sant has the right to petition the superior court for judicial review  
3 of this agency action under the provisions of chapter 34.05 RCW. For the requirements for filing a  
4 Petition for Judicial Review, see RCW 34.050.510 and sections following. Pursuant to RCW  
5 21.20.395, a certified copy of this order may be filed in Superior Court.

6 WILLFUL VIOLATION OF THIS ORDER IS A CRIMINAL OFFENSE.

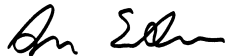
7 DATED and ENTERED this 27th day of January, 2010

8  
9 

10 \_\_\_\_\_  
11 MICHAEL E. STEVENSON  
12 Securities Administrator

13 Approved by:

14 Presented by:

15 

16 \_\_\_\_\_  
17 Suzanne E. Sarason  
18 Chief of Enforcement

19 

20 \_\_\_\_\_  
21 Janet So  
22 Enforcement Attorney

23 Reviewed by:

24 

25 \_\_\_\_\_  
26 Martin Cordell  
27 Financial Legal Examiner Supervisor

ENTRY OF FINDINGS OF FACT AND  
CONCLUSIONS OF LAW AND FINAL  
ORDER TO CEASE AND DESIST AND TO  
CHARGE COSTS AGAINST JASON SCOTT  
SANT