

1 STATE OF WASHINGTON
2 DEPARTMENT OF FINANCIAL INSTITUTIONS
3 SECURITIES DIVISION

4 IN THE MATTER OF DETERMINING
5 whether there has been a violation
6 of the Securities Act of Washington by:

7 Ward R. Brown dba Pacific Sales;
8 Janice R. Brown dba Lavender House;
9 Brown's Antiques and Furnishings;
10 Ward R. Brown; Janice R. Brown,
11 Respondents.

Order Number S-07-170-08-CO01

CONSENT ORDER AS TO WARD R.
BROWN AND WARD R. BROWN DBA
PACIFIC SALES

12 **INTRODUCTION**

13 On March 7, 2008, the Securities Administrator of the State of Washington issued
14 Statement of Charges, S-07-170-07-SC01, against the Respondents, Ward R. Brown dba Pacific
15 Sales, Janice R. Brown dba Lavender House, Brown's Antiques and Furnishings, Ward R. Brown
16 and Janice R. Brown. The Securities Division and Ward R. Brown and Ward R. Brown dba
17 Pacific Sales do hereby agree to this Consent Order in settlement of the above captioned matter.
18 The Securities Division has, in the Statement of Charges, made certain allegations and
19 conclusions, set forth under the headings "Tentative Findings of Fact" and "Conclusions of
20 Law." Ward R. Brown and Ward R. Brown dba Pacific Sales neither admit nor deny the
21 Tentative Findings of Fact and Conclusions of Law, which are hereby incorporated by
22 reference into this Consent Order.

23 **CONSENT ORDER**

24 Based upon the foregoing and finding it in the public interest:

1 IT IS AGREED AND ORDERED that Respondent Ward R. Brown shall cease and
2 desist from offering or selling securities in violation of RCW 21.20.040, the securities
3 salesperson and broker-dealer registration section of the Securities Act of Washington.

4 IT IS FURTHER AGREED AND ORDERED that Respondent Ward R. Brown dba
5 Pacific Sales shall cease and desist from offering or selling securities in violation of RCW
6 21.20.140, the securities registration section of the Securities Act of Washington.

7 IT IS FURTHER AGREED AND ORDERED that Respondents Ward R. Brown and
8 Ward R. Brown dba Pacific Sales shall each cease and desist from offering or selling securities
9 in violation of RCW 21.20.010, the anti-fraud section of the Securities Act of Washington.

10 IT IS FURTHER AGREED that Respondent Ward R. Brown shall pay the Securities
11 Division the costs and other expenses incurred in the investigation and prosecution of this
12 matter in the amount of \$750. The Respondent shall pay \$100 before the entry of the Consent
13 Order. The Respondent shall make payments of \$100 per month for six consecutive months
14 with a final payment in the seventh month of \$50. Each payment shall be due by the 15th day
15 of the month. The first payment shall be due on June 15, 2008. The remaining balance of the
16 \$750 shall become immediately due and payable upon failure to make any payment.

17 IT IS FURTHER AGREED that Respondents Ward R. Brown and Ward R. Brown dba
18 Pacific Sales enter into this Consent Order freely and voluntarily and with full understanding of
19 its terms and significance.

20 IT IS FURTHER AGREED that the Securities Division has jurisdiction to enter this
21 order.

1 IT IS FURTHER AGREED that in consideration of the foregoing, Respondents Ward
2 R. Brown and Ward R. Brown dba Pacific Sales each waive their right to a hearing in this matter
3 and judicial review of this order.
4

5 **WILLFUL VIOLATION OF THIS ORDER IS A CRIMINAL OFFENSE.**
6

7 SIGNED this 22nd day of May, 2008.

8 Approved for Entry by:
9

10 _____
11 /s/
12 Kenneth Gorton, WSBA No. 37597
13 Attorney for Ward R. Brown and Ward R. Brown dba Pacific Sales

14 Signed by:

15 _____
16 /s/
17 Ward R. Brown, individually

18 Signed by:
19 Ward R. Brown dba Pacific Sales

20 _____
21 /s/
22 Ward R. Brown, sole proprietor

1 Dated and Entered this 5th day of June, 2008.

2 By:

3 *Michael E. Stevenson*

4 _____
MICHAEL E. STEVENSON
5 Securities Administrator

6 Approved by:

7 *Suzanne Sarason*

8 _____
9 Suzanne Sarason
10 Chief of Compliance & Examinations

Presented by:

Bridgett Fisher

Bridgett Fisher
Enforcement Attorney

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