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**STATE OF WASHINGTON  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
SECURITIES DIVISION**

IN THE MATTER OF DETERMINING  
whether there has been a violation  
of the Securities Act of Washington by:

R.W. TAYLOR & ASSOCIATES, INC.;  
RICHARD TAYLOR,

Respondents.

Order Number S-05-006-07-CO01

CONSENT ORDER

**INTRODUCTION**

On October 31, 2005, the Securities Administrator of the State of Washington issued Statement of Charges and Notice of Intent to Enter Order to Cease and Desist and Notice of Intent to Impose Fines and Recover Costs, Order Number S-05-006-05-SC01, hereinafter referred to as "Statement of Charges," against the Respondents R.W. Taylor & Associates, Inc. and Richard Taylor.

The Securities Division and Respondents R.W. Taylor & Associates, Inc. and Richard Taylor do hereby enter into this Consent Order in settlement of the above-captioned matter. The Securities Division made certain allegations and conclusions in the Statement of Charges, set forth under the headings "Tentative Findings of Fact" and "Conclusions of Law." Respondents R.W. Taylor & Associates, Inc. and Richard Taylor neither admit nor deny the Findings of Fact and Conclusions of Law, which are hereby incorporated by reference into this Consent Order.

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2 **CONSENT ORDER**

3 Based upon the foregoing and finding it in the public interest:

4 IT IS AGREED AND ORDERED that Respondents, R.W. Taylor & Associates, Inc. and Richard  
5 Taylor, and their agents and employees, each shall cease and desist from offering or selling securities in  
6 violation of RCW 21.20.140, the securities registration section of the Securities Act of Washington.

7 IT IS FURTHER AGREED AND ORDERED that Respondents, R.W. Taylor & Associates, Inc.  
8 and Richard Taylor, and their agents and employees, each shall cease and desist from violating RCW  
9 21.20.040, the section of the Securities Act of Washington requiring registration of securities  
10 salespersons, broker-dealers, investment advisers, and investment adviser representatives.

11 IT IS FURTHER AGREED AND ORDERED that Respondents, R.W. Taylor & Associates, Inc.  
12 and Richard Taylor, and their agents and employees, each shall cease and desist from violating RCW  
13 21.20.010, the anti-fraud section of the Securities Act of Washington.

14 IT IS FURTHER AGREED that the Securities Division has jurisdiction to enter this Order.

15 IT IS FURTHER AGREED that Respondents R.W. Taylor & Associates, Inc. and Richard Taylor  
16 enter into this Consent Order freely and voluntarily and with a full understanding of its terms and  
17 significance.

18 IT IS FURTHER AGREED that in consideration of the foregoing Respondents R.W. Taylor &  
19 Associates, Inc. and Richard Taylor each waive their rights to a hearing in this matter and judicial review  
20 of this matter pursuant to RCW 21.20.440 and RCW 34.05.

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**WILLFUL VIOLATION OF THIS ORDER IS A CRIMINAL OFFENSE.**

SIGNED this 30<sup>th</sup> day of March, 2011.

Signed by:

R.W. Taylor & Associates, Inc.

By       /s/        
Richard Taylor, President

Signed by:

      /s/        
Richard Taylor, individually

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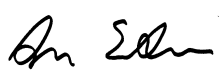
DATED and ENTERED this   11TH   day of   APRIL  , 2011.



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WILLIAM M. BEATTY  
Securities Administrator

Approved by:

Presented by:



\_\_\_\_\_  
Suzanne Sarason  
Chief of Enforcement

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Robert Kondrat  
Enforcement Attorney

CONSENT ORDER