## STATE OF WASHINGTON DEPARTMENT OF FINANCIAL INSTITUTIONS SECURITIES DIVISION

IN THE MATTER OF DETERMINING whether there has been a violation of the	) Order No. S-04-1	124-08-CO01
Securities Act of Washington by:	) CONSENT ORD	DER AS TO
Ward K. Capstick	) WARD K. CAPS	STICK
Responder	. )	

## **INTRODUCTION**

On March 21, 2008, the Securities Administrator of the State of Washington issued Statement of Charges and Notice of Intent to Issue an Order to Cease and Desist, To Impose Fines and Charge Costs, S-04-124-08-SC01, hereinafter referred to as the "Statement of Charges," against the Respondent Ward K. Capstick and others. The Securities Division has, in the Statement of Charges, made certain allegations and conclusions, set forth under the headings "Tentative Findings of Fact" and "Conclusions of Law." Respondent Ward K. Capstick neither admits nor denies the Findings of Fact and Conclusions of Law which are hereby incorporated by reference into this Consent Order.

## **CONSENT ORDER**

Based upon the foregoing and finding it in the public interest:

IT IS AGREED AND ORDERED that Respondent Ward K. Capstick, his agents, and employees each shall cease and desist from violating RCW 21.20.010, the anti-fraud section of the Securities Act of Washington.

IT IS AGREED AND ORDERED that Respondent Ward K. Capstick, his agents, and employees each shall cease and desist from violating RCW 21.20.040, the securities salesperson and broker-dealer registration section of the Securities Act of Washington.

IT IS AGREED AND ORDERED that Respondent Ward K. Capstick, his agents, and employees each shall cease and desist from violating RCW 21.20.140, the securities registration section of the Securities Act of Washington.

IT IS FURTHER AGREED that the Securities Division has jurisdiction to enter this Consent Order.

IT IS FURTHER AGREED that Respondent Ward K. Capstick entered into this Consent Order freely and voluntarily and with a full understanding of its terms and significance.

IT IS FURTHER AGREED that in consideration of the foregoing, Respondent Ward K. Capstick waives his right to a hearing and to judicial review of this matter pursuant to RCW 21.20.440 and Chapter 34.05 RCW.

CONSENT ORDER

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1	WILLFU	WILLFUL VIOLATION OF THIS ORDER IS A CRIMINAL OFFENSE.							
2	Signed this		November		_, 2012.				
3	Signed by:								
4									
5	WARD K. CAPSTICK, Individually								
6									
7	SIGNEI	O and ENTERED	O this3rd	day of	<u>December</u>	, 2012.			
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9					William 14	Seat			
10					lliam M. Raatty				
11	William M. Beatty Securities Administrator								
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13	Approved by:			Pro	esented by:				
14					Martin Condell				
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18	Suzanne Saraso Chief of Enforce				artin Cordell nancial Legal Examiner				
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22	Jack McClellan Financial Legal	Examiner Super	visor						
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