

STATE OF WASHINGTON  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
SECURITIES DIVISION

IN THE MATTER OF:

S-03-181-03-FO01

KEVIN P. DILLEY, CRD# 1439944,  
Respondent.

ENTRY OF FINDINGS OF FACT AND  
CONCLUSIONS OF LAW AND FINAL ORDER  
REINSTATING SECURITIES SALESPERSON  
REGISTRATION

Case No. S-03-181

THE STATE OF WASHINGTON  
TO:

Kevin P. Dilley  
716 South Neyland  
PO Box 69  
Liberty Lake, WA 98019

J.P. Turner & Company, LLC  
Attn.: Alfred L. Pierantozzi  
610 West 2<sup>nd</sup> Avenue  
Spokane, WA 99202

**FINDINGS OF FACT**

1. On September 24, 2003, the Securities Administrator of the State of Washington issued a Summary Order Suspending Securities Registration against Respondent Kevin P. Dilley. The Order, together with a Notice and Application for Adjudicative Hearing were personally served on Kevin P. Dilley on October 2, 2003. The Order found that the Department of Social and Health Services, Division of Child Support, had certified Respondent Kevin P. Dilley as being in non-compliance with a child support order. Pursuant to RCW 21.20.110(9), such certification was grounds for the immediate suspension of Respondent's securities salesperson registration.

2. October 3, 2003, the Securities Division received a License Suspension Cancellation Notice from the Department of Social and Health Services, Child Support Division, certifying that Respondent was now in compliance with the child support order at issue. Pursuant to RCW 21.20.110(9), provided Respondent had continued to meet all other requirements for reinstatement during the suspension period, reinstatement of the securities salesperson license would be automatic upon receipt of such certification.

1 3. During the registration suspension period, Respondent has continued to be associated with his  
2 employer, a NASD registered broker dealer.

3 Based upon the above Findings of Fact, the following Conclusions of Law are made:

4 **CONCLUSIONS OF LAW**

5 1. The Department of Social and Health Services, Division of Child Support, has found respondent  
6 Kevin P. Dilley to be in compliance with the child support order at issue. Such finding is grounds for the  
7 immediate reinstatement of Respondent's securities salesperson registration pursuant to RCW 21.20.110(9).

8 **FINAL ORDER**

9 Based on the foregoing, NOW, THEREFORE, IT IS HEREBY ORDERED that Kevin P. Dilley's  
10 securities salesperson licenses shall be, and hereby is, reinstated.

11 **AUTHORITY AND PROCEDURE**

12 This Order is entered pursuant to the provisions of RCW 21.20.110, and is subject to the provisions of  
13 RCW 21.20.120 and Chapter 34.05 RCW.

14 DATED this 6 day of October, 2003.

15 Order Entered by:

16 

17 Deborah R. Bortner  
18 Securities Administrator

19 Approved by:

20 

21 Michael E. Stevenson  
22 Chief of Enforcement

Presented by:

23 

24 Anthony W. Carter  
25 Financial Legal Examiner