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**STATE OF WASHINGTON
DEPARTMENT OF FINANCIAL INSTITUTIONS
SECURITIES DIVISION**

IN THE MATTER OF DETERMINING
whether there has been a violation of the
Securities Act of Washington by:

JOHN A. ERICKSON,

Respondent.

Order No. S-03-083-03-CO01

CONSENT ORDER

Case No. S-03-083

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INTRODUCTION

9 On October 13, 2003, the Securities Division, Department of Financial Institutions, State of
10 Washington (the "Securities Division"), issued STATEMENT OF CHARGES AND NOTICE OF
11 INTENT TO ENTER AN ORDER TO SUSPEND REGISTRATIONS AND IMPOSE FINES S-03-083-
12 03-SC01 (hereinafter referred to as the "Statement of Charges") against Respondent John A. Erickson.
13 Pursuant to the Securities Act of Washington, RCW 21.20, the Securities Division and Respondent John
14 A. Erickson do hereby enter into this CONSENT ORDER in settlement of the above captioned matter.
15 In the Statement of Charges, the Securities Division made certain allegations and conclusions, set forth
16 under the headings "Tentative Findings of Fact" and "Conclusions of Law." Respondent John A.
17 Erickson neither admits nor denies the Tentative Findings of Fact and Conclusions of Law, which are
18 incorporated by reference into this Consent Order.

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CONSENT ORDER

Based upon the foregoing,

21 IT IS AGREED AND ORDERED that John A. Erickson shall reimburse the Securities Division
22 Two Thousand Dollars (\$2,000) for its costs incurred in its investigation of this matter. Said payment is
23 to be made to the Securities Division prior to the entry of this Consent Order.

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CONSENT ORDER

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DEPARTMENT OF FINANCIAL INSTITUTIONS
Securities Division
PO Box 9033
Olympia, WA 98507-9033
360-902-8760

1 IT IS FURTHER AGREED AND ORDERED that John A. Erickson shall pay a fine in the
2 amount of Ten Thousand Dollars (\$10,000). Said payment is to be made to the Securities Division prior
3 to the entry of this Consent Order.

4 IT IS FURTHER AGREED that the Securities Division has jurisdiction to enter this Consent
5 Order.

6 In consideration of the foregoing, John A. Erickson waives his right to a hearing on this matter
7 and to judicial review of this matter pursuant to RCW 21.20.440 and Chapter 34.05 RCW.

8 SIGNED this 9th day of January, 2004.

9 Approved for entry by:

10
11 /s/ David Z. Seide


12 _____
13 David Z. Seide, Esq.
14 Morgan Stanley
15 Law Division
16 Attorney for John A. Erickson

17 Signed by:

18 /s/ John A. Erickson

19 _____
20 John A. Erickson

21 THIS ORDER ENTERED THIS 14th DAY OF January, 2004 BY:

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23 _____
24 DEBORAH R. BORTNER
25 Securities Administrator


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Approved for entry by:



Michael E. Stevenson
Chief of Enforcement

Presented by:



Chad C. Standifer
Staff Attorney